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PATENT

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DOCKET NO.: ELNX-0386 (P1560)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#38
LWJ
10-9-02

In Re Application of: Kikinis

Confirmation No. 7214

Serial No.: 09/073,019

Group Art Unit: 2155

Filing Date: May 4, 1998

Examiner: K. Dinh

For: Tailoring Data and Transmission Protocol for Efficient Interactive Data Transactions Over Wide-Area Networks

EXPRESS MAIL LABEL NO: EL 928560486 US
DATE OF DEPOSIT: September 9, 2002

BOX ISSUE FEE
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission,

therefore:

- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
 - ☐ Certification in Accordance with §1.97(e) is attached; or
 - ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
 - ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
 - ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
This form is submitted in duplicate.

The following references (cited as reference numbers 1, 4, 6, 10, 14, 15, 20, 21, 27, 30, 49, 55, 56, 58 and 61) were previously cited in parent applications 08/791,249 (now U.S. Patent 6,076,109) and 08/629,475 (now U.S. Patent 5,727,159). Applicant desires this information to be printed on a patent that issues from this application, accordingly, they are submitted herewith.

An English language abstract has been provided for the Japanese patent publication documents cited as reference numbers 11, 12, 13, 24 and 25. Please also note that U.S. Patent 5,835,789 (cited as reference #26) corresponds with Japanese publication number 8-204703 (cited as reference #13) and US-2001-002851 (cited as reference #48) corresponds with Japanese publication number 9-16487 (cited as reference #25). The publication "Kawamata, Y. Internet Technique in the Multimedia Era, Interface, CQ Publishing Company September 1, 1995, Vol 21, No. 9, pages 151-166 (cited as reference #53) was obtained in connection with an office action from the Japanese Patent Office.

The inclusion of a reference on the attached Form PTO-1449 Modified is not an indication that the reference is necessarily prior art.

Date: September 9, 2002



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